

| Form PTO 1449<br>(Modified)   |                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br><br>221423US2PCT |              | SERIAL NO.<br>New US PCT application<br>based on PCT/EP00/10175  |  |
|---|--------------------|--|----------|-------------------------------------|--------------|--|--|
| LIST OF REFERENCES CITED BY APPLICANT                                   |                    |  |          | APPLICANT<br><br>Horst H. BAUCH     |              |  |  |
|   |                    |  |          | FILING DATE<br>Herewith             |              | GROUP  |  |
| U.S. PATENT DOCUMENTS   |                    |  |          |                                     |              |  |  |
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE   | NAME     | CLASS                               | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE                                    |  |
| ntb   | AA                 | 5068656  | 11/26/91 | D. SUTHERLAND                       |              |  |  |
| ntb   | AB                 | 5751245  | 05/12/98 | J.M. JANKY et al.                   |              |  |  |
|   | AC                 |  |          |                                     |              |  |  |
|   | AD                 |  |          |                                     |              |  |  |
|   | AE                 |  |          |                                     |              |  |  |
|   | AF                 |  |          |                                     |              |  |  |
|   | AG                 |  |          |                                     |              |  |  |
|   | AH                 |  |          |                                     |              |  |  |
|   | AI                 |  |          |                                     |              |  |  |
|   | AJ                 |  |          |                                     |              |  |  |
|   | AK                 |  |          |                                     |              |  |  |
|   | AL                 |  |          |                                     |              |  |  |
|   | AM                 |  |          |                                     |              |  |  |
|   | AN                 |  |          |                                     |              |  |  |
| FOREIGN PATENT DOCUMENTS  |                    |  |          |                                     |              |  |  |
|   |                    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                             | TRANSLATION  |  |  |
|   |                    |  |          |                                     | YES          | NO   |  |
| ntb   | AO                 | 2281141  | 02/22/95 | GB                                  |              | No   |  |
|   | AP                 |  |          |                                     |              |  |  |
|   | AQ                 |  |          |                                     |              |  |  |
|   | AR                 |  |          |                                     |              |  |  |
|   | AS                 |  |          |                                     |              |  |  |
|   | AT                 |  |          |                                     |              |  |  |
|   | AU                 |  |          |                                     |              |  |  |
|   | AV                 |  |          |                                     |              |  |  |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |                    |  |          |                                     |              |  |  |
|   | AW                 |  |          |                                     |              |  |  |
|   | AX                 |  |          |                                     |              |  |  |
|   | AY                 |  |          |                                     |              |  |  |
|   | AZ                 |  |          |                                     |              | <input type="checkbox"/> Additional References sheet(s) attached |  |
| Examiner <i>Kuyukawa</i>  |                    |  |          | Date Considered 9/22/03             |              |  |  |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  |    |  |          |  |                                |  |                               |
|--|----|--|----------|--|--------------------------------|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br><b>221423US2PCT</b> |                                | SERIAL NO.<br><b>10/089,094</b>                                  |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br><b>Horst H. BAUCH</b>     |                                |  |                               |
|  |    |  |          | FILING DATE<br><b>April 12, 2002</b>   |                                | GROUP<br><b>3661</b>   |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |          |  |                                |  |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME                                   | CLASS                          | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA |  |          |  |                                |  |                               |
|  | AB |  |          |  |                                |  |                               |
|  | AC |  |          |  |                                |  |                               |
|  | AD |  |          |  |                                |  |                               |
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|  | AF |  |          |  |                                |  |                               |
|  | AG |  |          |  |                                |  |                               |
|  | AH |  |          |  |                                |  |                               |
|  | AI |  |          |  |                                |  |                               |
|  | AJ |  |          |  |                                |  |                               |
|  | AK |  |          |  |                                |  |                               |
|  | AL |  |          |  |                                |  |                               |
|  | AM |  |          |  |                                |  |                               |
|  | AN |  |          |  |                                |  |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |          |  |                                |  |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                                | TRANSLATION<br>YES NO          |  |                               |
| <i>nts</i>   | AO | 42 14 067  | 08/31/95 | DE (with English Abstract)             |                                | No   |                               |
| <i>nts</i>   | AP | 29 23 634  | 01/17/85 | DE (with English Abstract)             |                                | No   |                               |
| <i>nts</i>   | AQ | 196 46 954   | 08/27/98 | DE (with English Abstract)             |                                | No   |                               |
|  | AR |  |          |  |                                |  |                               |
|  | AS |  |          |  |                                |  |                               |
|  | AT |  |          |  |                                |  |                               |
|  | AU |  |          |  |                                |  |                               |
|  | AV |  |          |  |                                |  |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b> |    |  |          |  |                                |  |                               |
|  | AW |  |          |  |                                |  |                               |
|  | AX |  |          |  |                                |  |                               |
|  | AY |  |          |  |                                |  |                               |
|  | AZ |  |          |  |                                | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner <i>R. Guyon-Lane</i>  |    |  |          |  | Date Considered <b>9/22/03</b> |  |                               |

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